4160-01-P

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

[Docket No. FDA-2013-N-0557]

Agency Information Collection Activities; Proposed Collection; Comment Request; Postmarket

Surveillance

AGENCY: Food and Drug Administration, HHS.

ACTION: Notice.

SUMMARY: The Food and Drug Administration (FDA) is announcing an opportunity for public comment on the proposed collection of certain information by the Agency. Under the Paperwork Reduction Act of 1995 (the PRA), Federal Agencies are required to publish notice in the <u>Federal Register</u> concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on information collection requirements for postmarket surveillance of medical devices.

DATES: Submit either electronic or written comments on the collection of information by [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: Submit electronic comments on the collection of information to <a href="http://www.regulations.gov">http://www.regulations.gov</a>. Submit written comments on the collection of information to the Division of Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number found in brackets in the heading of this document.

FOR FURTHER INFORMATION CONTACT: Daniel Gittleson, Office of Information Management, Food and Drug Administration, 1350 Piccard Dr., PI50-400B, Rockville, MD 20850, 301-796-5156, <a href="mailto:daniel.gittleson@fda.hhs.gov">daniel.gittleson@fda.hhs.gov</a>.

SUPPLEMENTARY INFORMATION: Under the PRA (44 U.S.C. 3501-3520), Federal Agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. "Collection of information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the Federal Register concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA's functions, including whether the information will have practical utility; (2) the accuracy of FDA's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

Postmarket Surveillance--21 CFR Part 822 (OMB Control Number 0910-0449)--Extension

Section 522 of the Federal Food, Drug and Cosmetic Act (21 U.S.C. 360<u>l</u>) authorizes the

FDA to require a manufacturers to conduct postmarket surveillance (PS) of any device that

meets the criteria set forth in the statute. The PS regulation establishes procedures that FDA

uses to approve and disapprove PS plans. The regulation provides instructions to manufacturers

so they know what information is required in a PS plan submission. FDA reviews PS plan

submissions in accordance with part 822 (21 CFR part 822) in §§ 822.15 through 822.19 of the

regulation, which describe the grounds for approving or disapproving a PS plan. In addition, the

PS regulation provides instructions to manufacturers to submit interim and final reports in

accordance with § 822.38. Respondents to this collection of information are those manufacturers

who require postmarket surveillance of their products.

FDA estimates the burden of this collection of information as follows:

Table 1.--Estimated Annual Reporting Burden<sup>1</sup>

Activity/21 CFR Section	No. of	No. of	Total Annual	Average Burden	Total
	Respondents	Responses per	Responses	per Response	Hours
		Respondent			
Postmarket surveillance	131	1	131	120	15,720
submission (§§ 822.9 and					
822.10)					
Changes to PS plan after	15	1	15	40	600
approval (§ 822.21)					
Changes to PS plan for a	80	1	80	8	640
device that is no longer					
marketed (§ 822.28)					
Waiver (§ 822.29)	1	1	1	40	40
Exemption request	16	1	16	40	640
(§ 822.30)					
Periodic reports (§	131	3	393	40	15,720
822.38)					
Total					

<sup>&</sup>lt;sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

## Explanation of Reporting Burden Estimate:

The burden captured in table 1 of this document is based on the data available in FDA's internal tracking system. Sections 822.26, 822.27, and 822.34 do not constitute information

collection subject to review under the PRA because it entails "no burden other than that necessary to identify the respondent, the date, the respondent's address, and the nature of the instrument" (5 CFR 1320.3(h)(1)).

Table 2.--Estimated Annual Recordkeeping Burden<sup>1</sup>

Activity/21 CFR Section	No. of	No. of Records	Total Annual	Average	Total
	Recordkeepers	per	Records	Burden per	Hours
	_	Recordkeeper		Recordkeeping	
Manufacturer records (§ 822.31)	131	1	131	20	2,620
Investigator records (§ 822.32)	393	1	393	5	1,965
Total					4.585

Total 4.

There are no capital costs or operating and maintenance costs associated with this collection of information.

## Explanation of Recordkeeping Burden Estimate:

FDA expects that at least some of the manufacturers will be able to satisfy the PS requirement using information or data they already have. For purposes of calculating burden, however, FDA has assumed that each PS order can only be satisfied by a 3-year clinically-based surveillance plan, using three investigators. These estimates are based on FDA's knowledge and experience with postmarket surveillance.

Dated: May 13, 2013.

Leslie Kux,

Assistant Commissioner for Policy.

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